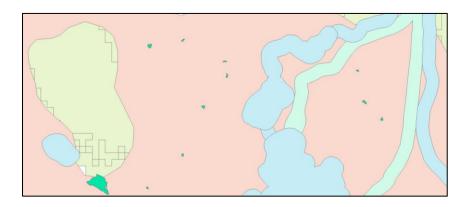
Last Updated: September 2017

Version: ArcMap 10.x

EXERCISE 3 Refine Sink Outputs



Introduction

Although you have a dataset that identifies sinks, many of those sinks are either connected to permanent water sources, are artifacts of roads caused by the topographic DEM, or are in areas where functional vernal pools are unlikely to be (e.g. urban or residential areas). To eliminate detected sinks in such areas, this exercise will walk you through the steps to select only the sinks that match the defined criteria to be classified as a **potential vernal pool (PVP)**. Once the final dataset of PVPs is created, researchers or land managers can go out into the field in the spring to validate whether or not the PVPs can be labelled as confirmed vernal pools **(CVPs)** based on the presence of indicator species.

Objectives

- Use selection tools to extract sinks
- Edit and delete sinks in undesirable areas
- Refine your sinks dataset to include only potential vernal pools

Required Data

- SinkContour_01_50_3048.shp
- NHD_30mbuff_merge.shp
- Roads_30mbuff.shp
- NLCD_veg_clip.shp
- ELT_clip_selection.shp (optional)

Prerequisites

- Completion of Exercise 1 & 2
- Install Esri ArcMap on computer and have basic understanding of how to use the software.





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Part 1: Set up ArcMap

A. Open VernalPools.mxd

- 1. If it is not still open, navigate to the **VernalPools** folder and double click **VernalPools.mxd** to open the ArcMap session you worked in for exercises 1 and 2.
- 2. Ensure that all of the following files are loaded into the ArcMap session.
 - i. SinkContour_01_50_3048.shp
 - ii. NHD_30mbuff_merge.shp
 - iii. Roads_30mbuff.shp
 - iv. NLCD_veg_clip.shp
 - v. ELT_clip_selection

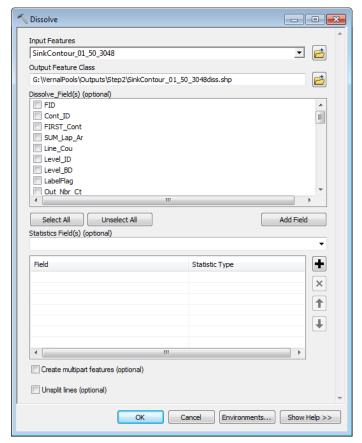
Part 2: Dissolve Polygons for Refinement Workflow

Since you need to identify where detected sinks overlap with roads, permanent hydrology features, certain land cover classes and ecological land types, you will need to dissolve the polygons that were the outputs from exercise 1. This will allow you to eliminate entire sinks without missing some of the smaller, nested sinks that may not directly overlap with the road, river, etc., but are clearly connected to the larger sink that overlaps with these features.

- 1. At the top of your ArcMap window, click the Geoprocessing menu and select Dissolve.
- 2. Select SinkContour_01_50_3048.shp as the input features.
- 3. Click the folder icon next to **Output Feature Class** and navigate to the **Step2** output folder.
- 4. Name the output SinkContour_01_50_3048diss.shp and click Save.
- 5. Deselect Create multipart features.
 - i. By removing the checkbox from this parameter, you are ensuring that each separate polygon will maintain a unique ID.
- 6. Leave all of the other parameters as their default and click **OK** (see below).







7. Check the attribute table of the new dissolved sink output. You should now have a total of **1,226** features in the shapefile as opposed to the **3,042** you had prior to the dissolve.

Part 3: Delete Sinks That Overlap With Roads and NHD

A. Edit and Delete Selected Features

- 1. Add the **Editor** toolbar to your ArcMap if it is not already there (Customize menu, Toolbars, and then select Editor).
- 2. Click the Editor menu in the Editor toolbar and click Start Editing.
- In the Start Editing window, select SinkContour_01_50_3048diss and click OK.

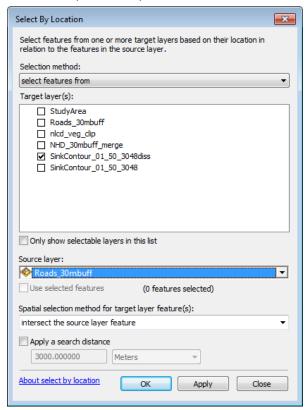
B. Select and Delete Sinks Adjacent to Roads

- 1. Click on the Roads_30mbuff layer and compare that with the sinks output you just dissolved.
- 2. To better distinguish between the two, set the symbology of each to a distinct color (Properties, Symbology).
 - i. Notice that many of these polygons are currently near or overlapping with this buffered road shapefile. You want to delete the sinks that overlap with the buffered roads.
- 3. Click the **Selection** menu at the top of your ArcMap window and click **Select By Location**.
- 4. Ensure the **Selection method** is set to **select features from**.





- In the target layers: section, click the box next to SinkContour_01_50_3048diss to check it.
- 6. Set the Source layer: to Roads_30mbuff.
- 7. Ensure that the **Spatial selection method for target layer feature(s):** is set to **intersect the source layer feature**.
- 8. Click OK (see below).



- 9. Zoom in to examine the polygons that are now highlighted. Notice that all polygons from the sinks shapefile that overlapped at all with the roads layer have now been selected.
- 10. Now all you need to do is simply press the **Delete** key on your keyboard.
 - i. Click the Editor menu and click Save Edits. Don't stop editing yet, as you will need to continue editing SinkContour_01_50_3048diss in the next step.
 - ii. This leaves you with a total of 960 sinks.

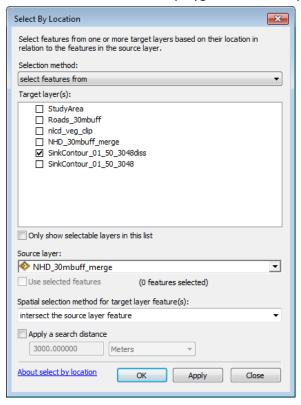
C. Select and Delete Sinks Connected to NHD

- 1. Like you did in Part 3-B, turn on **NHD_30mbuff_merge** and examine the overlap of remaining sinks with the merged and buffered NHD layer.
- 2. Click the **Selection** menu at the top of your ArcMap window and click **Select By Location**.
- 3. Ensure the Selection method is set to select features from.
- 4. In the **target layers:** section, click the box next to **SinkContour_01_50_3048diss** if there isn't a check next to it already.
- 5. Set the Source layer: to NHD_30mbuff_merge.





- Ensure that the Spatial selection method for target layer feature(s): is set to intersect the source layer feature.
- 7. Click **OK** (see below).
 - i. This will select all sink polygons that overlap wth the buffered NHD.



- 8. Next, click the **Delete** key on your keyboard. This will delete all of those selected polygons.
 - i. You should now have a total of 636 features in SinkContour 01 50 3048diss.
- 9. Click the Editor menu, click Save Edits then Stop Editing.

Part 4: Extract Sinks From Target NLCD Classes

At this point, you have deleted sinks that overlap with roads and permanent water features. Next, you will use the NLCD shapefile you created in Exercise 2 to cut down the sinks output even further to exclude sink polygons with the majority of the polygon located in developed areas, agricultural land, wetlands, and open water. You will use the Select By Location tool again in this section because using the Clip tool would cut off sinks that are partially in the NLCD_veg_clip polygon.

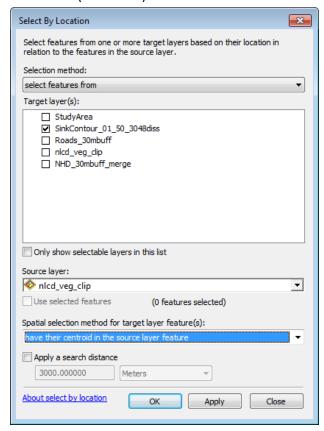
A. Select Sinks That Intersect NLCD

- 1. Click the **Selection** menu at the top of your ArcMap window and click **Select By Location**.
- 2. Set the Selection Method to SinkContour 01 50 3048diss by checking the box next to it.
- Set the Source Layer to NLCD_veg_clip.
- 4. Ensure that the **Spatial selection method for target layer feature** is set to **have their centroid in the source layer feature** (bottom of the list).





5. Click OK (see below).



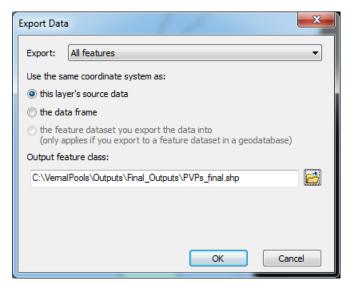
 This will select all sinks with their geometric center located in the NLCD layer. Per Wu (2014), only those sinks with the majority of their area within the target land cover classes are retained as PVPs.

B. Export Remaining Sinks

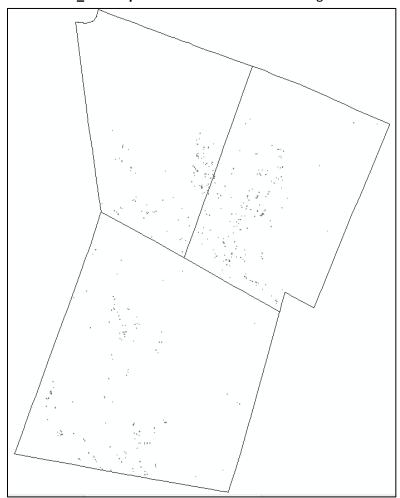
- 1. Next, you will need to use **Export Data** to create a new shapefile from these selected features. Right-click **SinkContour_01_50_3048diss**, hover over **Data** and click **Export Data**.
- 2. Click the folder icon next to the **Output feature class** and navigate to the **Final_Outputs** folder.
- 3. Name the output PVPs_final.shp and click Save.
- 4. Click "Yes" to add data to the map as layer.
- 5. Click OK (see below).
 - i. This will leave you with a total of **486** sinks.







- ii. Although you have narrowed down the total number of sinks, there are still many that are most likely not certifiable vernal pools (CVPs).
- 6. The **PVPs_final.shp** should look similar to the image below.



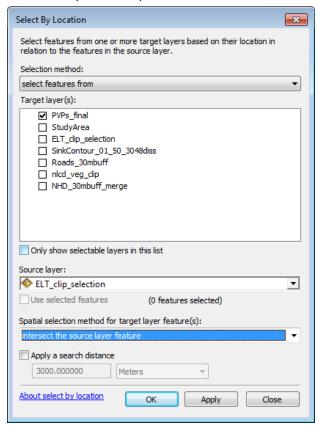




7. At this point, you have the option of clipping this final dataset to the ELT Polygons (see Part 5, which is optional) or moving on to Part 6. In addition, if you would like to integrate an elevation cut off into this workflow, see **Appendix 2** before moving on to Part 6. Some may find this useful because often times higher elevation PVPs are not threatened by logging activities.

Part 5: Select Sinks That Intersect With ELT Polygons (Optional)

- 1. Click the **Selection** menu at the top of your ArcMap window and click **Select By Location**.
- 2. Set the Target Layer to PVPs_final.
- 3. Set the Source Layer to ELT_clip_selection.
- 4. For the Spatial selection method for target layer feature(s), select **intersect the source layer feature**.
- Click **OK** (see below).



- i. In order to take these selected features and create a new sinks shapefile, you will need to utilize the Export Data function to export the selected features.
- Now that the sinks that overlap with ELTs are selected, right-click
 SinkContour_01_50_3048diss_NLCD, hover over Data and click Export Data.
- 7. Click the folder icon next to Output feature class and navigate to your workspace.



- 8. Name the output PVPs_final_ELT.shp and click Save.
- 9. Click 'Yes' to add the data to map as layer.
- 10. Click **OK.**
 - i. This will leave you with a total of 132 sinks, or PVPs.

Part 6: Final Statistics for PVPs

The Identify Depression Hierarchy tool output (step 2 of the Contour Tree tool) contains a variety of zonal statistics related to the nested sinks that were identified by the tool. However, the attribute table is unintuitive and it contains unnecessary information, as well as a plethora of NoData values. For that reason, this section will show you how to generate valuable statistics for the final sinks layer using the DEM and the Zonal Statistics as Table tool in ArcMap. You will then add X and Y coordinates to the attribute table and export it so that it can be viewed in Excel. If you are interested in linking the original attribute data from step 2 with you PVPs_final layer, see **Appendix 1** for instructions.

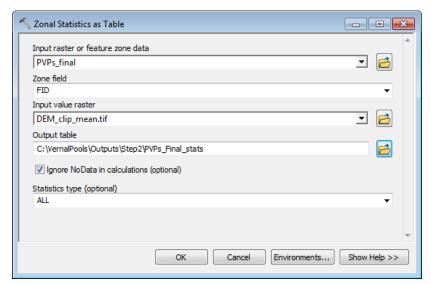
A. Generate Zonal Statistics

Zonal statistics tools will work properly with either a floating point (decimal) or integer raster. However, several statistics will not be computed if you use a floating point raster as the input; those statistics that won't be computed include majority, median, minority and variety. For the purpose of this workflow, the floating point raster that you have been working with will work fine because those statistical fields aren't necessarily critical.

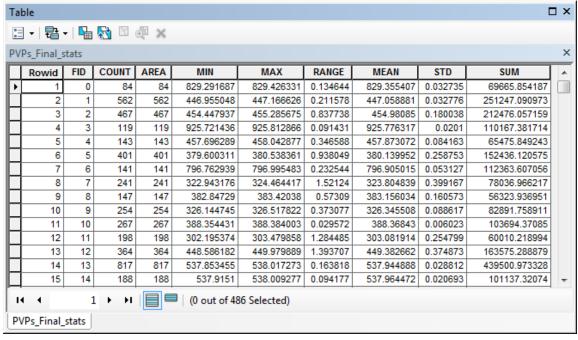
- Click the Add Data button and navigate to the DEM output folder where you saved DEM_clip_mean.tif. Click Add to load it in your ArcMap
- 2. Open the **Zonal Statistics as Table** tool within ArcToolbox by expanding **Spatial Analyst Tool** and then **Zonal**.
- 3. Set the **Input raster or feature zone data** to **PVPs_final.shp** (or **PVPs_final_ELT.shp** if you applied the ELT data during the optional Part 5).
- 4. Set the **Zone field** to **FID** (ID is unpopulated and will result in a table with a single statistics row).
- 5. Set the **Input value raster** to **DEM_clip_mean.tif**.
- Click the folder icon next to Output table and navigate to your Outputs folder. Name the output PVPs_Final_stats (or PVPs_Final_ELT_stats).
- 7. Ensure that the **Statistics type** is set to **ALL**
- 8. Leave the **Ignore NoData in calculations** box checked. This will exclude NoData values from your statistical output.
- 9. Click **OK** to run Zonal Statistics (see below).







10. The resulting table will look like the below image.



- i. Although there are still many PVPs remaining in this dataset (486 in above example), these statistics can aid wildlife biologists in the selection of PVPs that fit more specific geometric characteristics.
- ii. An important thing to note here is that the **Rowid** column is a generic ID that is output during the generation of the zonal statistics. The **FID** column is the column that is related to the original PVPs_Final dataset.

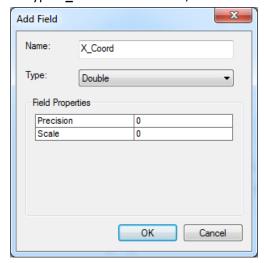
B. Generate X,Y Coordinates

- 1. Open the attribute table for **PVPs_final** if it isn't already open.
- 2. Click the **Table Options** menu and select **Add Field**.

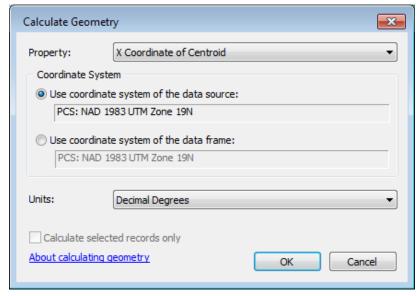




3. Type **X_Coord** as the name, select **Double** as the **Type** and click **OK** (see below).



- 4. Repeat steps 2 and 3 to create a second field named Y_Coord.
- 5. Next, right click the **X_Coord** column header and select **Calculate Geometry**.
 - i. Click Yes to close the popup.
- 6. Click the Property menu and select X Coordinate of Centroid.
- 7. Deselect Calculate selected record only in case you have selected any rows.
- 8. Ensure that the Units are set to **Decimal Degrees** and click **OK** (see below).



9. Repeat steps 5-7 for the Y_Coord, but set the property to Y Coordinate of Centroid.

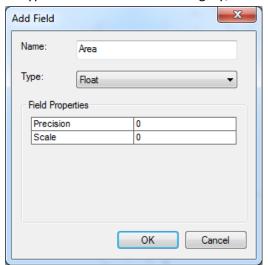
C. Add Area Statistic

- 1. The Area category in the **PVPs_Final_Stats** table is a simple count of pixels (1-meter). To be more precise with the Area statistics, you will create a new field and calculate area using decimal values
- 2. Click the Table Options menu in the PVPs_Final attribute table and click Add Field.

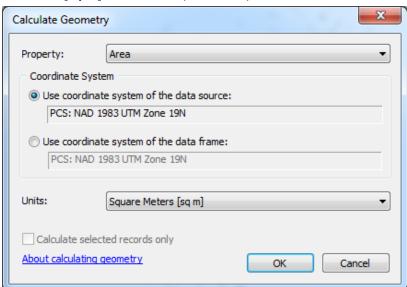




3. Type Area into the Name category, set the Type to Float and click OK (see below).

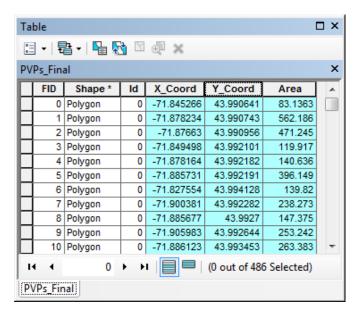


- 4. Next, righ-click the Area column header and select Calculate Geometry.
- 5. In the Calculate Geometry window, set the **Property** to **Area**, then set the **Units** to **Square Meters** [sq m] and click **OK** (see below).



i. The resulting attribute table should look like the below image.

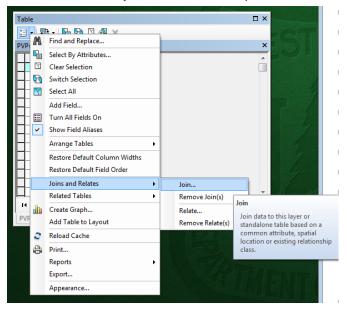




D. Join PVPs_Final With Statistics Table

The final step is to join the statistics table with the **PVPs_Final** shapefile so that you can view the statistics in ArcMap while simultaneously observing the location of each PVP.

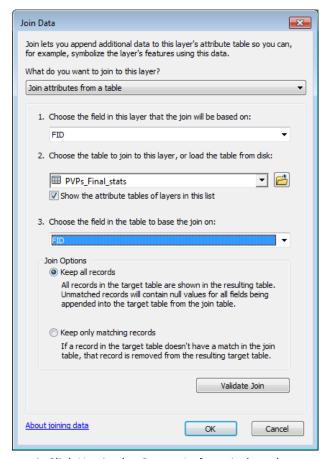
- 1. Right click PVPs_Final and select Open Attribute Table if it isn't still open.
- 2. Click the Table Options menu, hover your cursor over Joins and Relates and select Join.



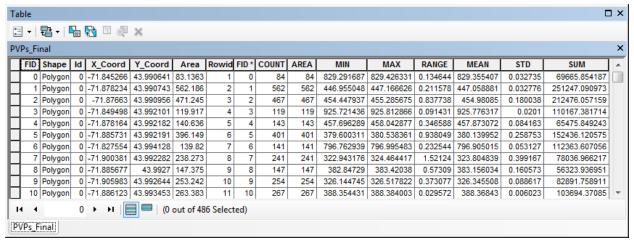
- 3. Set the Choose the field in this layer that the join will be based on parameter to FID.
- 4. Ensure the PVPs_Final_stats table is selected in section 2.
- 5. For the 3rd section that reads **Choose the field in the table to base the join on**, set it to **FID**.
- 6. Ensure that the **Keep all records** option is selected and then click **OK** (see below).







- i. Click **Yes** in the **Create Index** window that appears.
- 7. Expand the attribute table to view all of the added statistical fields.
- 8. Your attribute table should look like the below image.



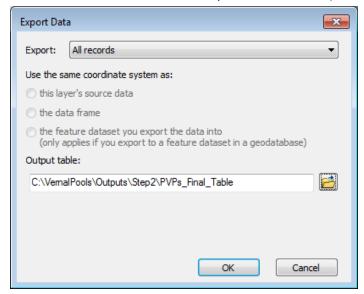
E. Export Final Table

1. Click the **Table Options** dropdown and select **Export**.





- 2. In the Export Data window, click the folder icon next to **Output table** and navigate to the **Step2** folder.
- 3. Name the output PVPs_Final_Table and set the Save as Type to dBASE Table.
- 4. Click **Save** and then **OK** in the Export Data window (see below).



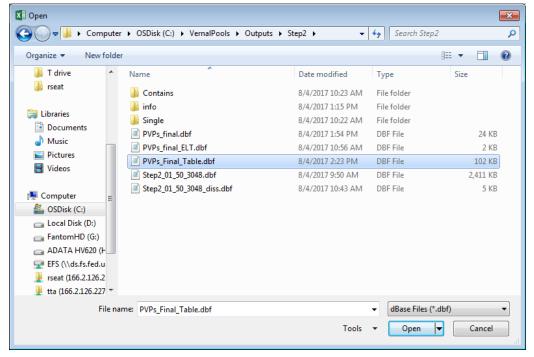
i. Click **No** when prompted to add the output to the ArcMap session.

F. Open Table in Excel

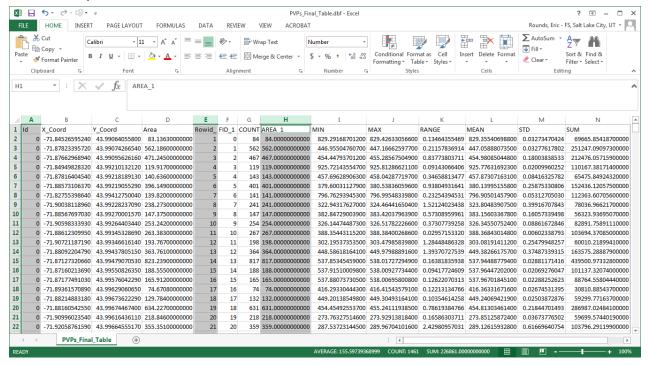
- 1. Now you can open **Excel**, click **Open Other Workbooks** and navigate to the **Step2** folder by clicking **Computer**, then **Browse**.
- 2. Change the All Files (*,*) dropdown to dBase Files (*.dbf), then select the PVPs_Final_Table.dbf file and click Open (see below).







3. Once the table is open, you can clean it up a bit by deleting superfluous columns, such as the ID, Rowid_ and Area_1 columns (see below). You may find it useful to delete some of the other columns that don't offer important information. The columns that may prove the most useful are the AREA and RANGE statistics, which can be analyzed to understand the size and depth of the PVPs.







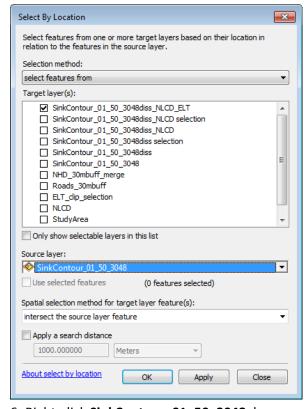
Congratulations! You have successfully completed this exercise. You now know all of the steps required to create a dataset of potential vernal pools. This data can now be validated by assessing the PVPs in the spring when ephemeral vernal pools are active and indicator species are present.



Appendix 1: Link Final Sinks Layer to Contour Output

If you are interested in the attribute data that in contained in the output from step 2 of the workflow, then you can use the instructions in this section to learn how to link the

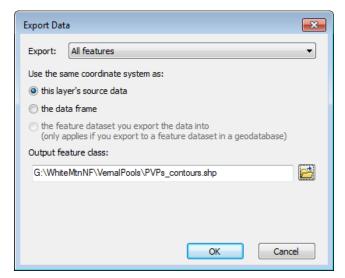
- 1. Click the Selection menu and click Select By Location.
- 2. Set the Target Layer to SinkContour_01_50_3048.
- Select SinkContour_01_50_3048_diss_NLCD_ELT as the Source Layer.
- 4. Ensure that the **Spatial selection method for target layer feature** parameter is set to **intersect the source layer feature**.
- 5. Click OK (see below).



- 6. Right-click SinkContour_01_50_3048, hover over Data and click Export Data.
- 7. Navigate to your workspace and name the output PVPs_contours.shp.
- 8. Click **OK** (see below).







- 9. Click **Yes** when the alert pops up asking if you want to add the exported layer to the map.
 - i. You now have reconnected the dissolved sinks output with the original output from step 2 of Exercise 1, leaving you with all of the contours and geometric attributes provided by the **Identify Depression Hierarchy** tool.
- 10. Repeat steps 6-8 using **SinkContour_01_50_3048diss_NLCD_ELT** as the data to export and name it **PVPs**.
 - i. This will leave you with two final datasets, one that contains all of the contour/geometric information from Step 2, and one that has the location information and zonal statistics for each PVP that you generated in this exercise.

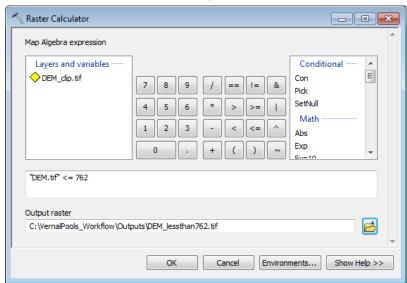


Appendix 2: Applying an Elevation Cutoff

In some instances, you may want to exclude areas you are uninterested in due to their high elevation. This part walks you through how to further refine your output by eliminating points above a 2500ft theoretical threshold. However, there will be no points deleted from the final output because there were no points remaining above 2500 ft. after completing all of the above steps.

A. Generate Height Threshold Layer

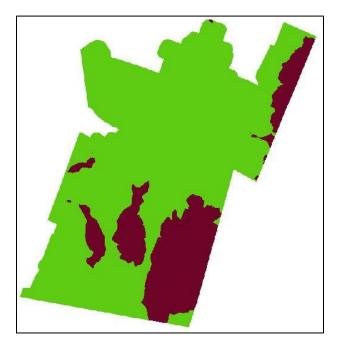
- 1. Click the Add Data button and add the DEM_clip.tif.
- 2. Open the Raster Calculator tool, which can be found in the ArcToolbox under Spatial Analyst Tools and Map Algebra.
- 3. Double click **DEM_clip.tif** in under Layers and Variables. This adds it to the Algebra Expression.
- 4. Click the 'less than or equal to' symbol (<=).
- 5. Since the DEM is in meters and we have a threshold defined in feet, you'll need to do a basic conversion of feet to meters. Enter 762 at the end of the Map Algebra Expression.
- 6. In the Output raster field, navigate to your **refinement_data** folder within outputs and name the file **DEM_lessthan762.tif.**
- 7. Review the Raster Calculator expression below and click OK.



8. The output should look similar to the raster below.

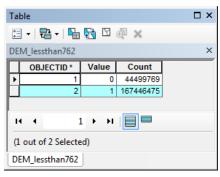






B. Select Sinks Based on Elevation Cutoff Raster

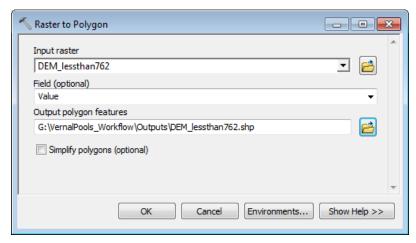
- 1. Right-click **DEM_lessthan762** in the TOC and click **Open Attribute Table**
- 2. Click on the far left of the second row to highlight it (see below)



- 3. Next, open the **Raster to Polygon** tool, which you can find in the ArcToolbox under **Conversion** and **From Raster**
- 4. Set DEM_lessthan762 as the Input raster.
- 5. Save the output in your outputs folder and name it **DEM_lessthan762.shp.**
- 6. Click **OK** (see below).







- i. Now you have a polygon layer that contains only those areas less than or equal to 762 meters, or 2500 feet.
- 7. Click the **Geoprocessing** menu and select **Clip**
- 8. Set **PVPs_Final.shp** as the Input Feature.
- 9. Use the **DEM_lessthan762** shapefile as the Clip Feature.
- 10. Navigate to the Step2 folder and name the output PVPs_Final_elev.shp

